



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/729,692	12/06/2000	William G. Macready	9392-040-999

CONFIRMATION NO. 6840

## FORMALITIES LETTER



\*OC000000005689457\*

20582  
PENNIE & EDMONDS LLP  
1667 K STREET NW  
SUITE 1000  
WASHINGTON, DC 20006

Date Mailed: 01/19/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

TO TUESDAY 00000002 161150 09729692

130.00 CH



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: William G. MACREADY et al.

Application No.: 09/729,692

Group Art Unit: 2164

Filed: December 6, 2000

Examiner: To Be Assigned

For: A METHOD AND SYSTEM FOR  
DISCOVERY OF TRADES  
BETWEEN PARTIES

Attorney Docket No.: 9392-040-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, mailed by the U.S. Patent and Trademark Office on January 19, 2001, Applicant submits the executed Declaration and Power of Attorney by Assignee for the above-identified application. A copy of the Notice is attached.

A fee of \$130.00 is believed to be due for this submission for the late filing of the executed declaration.

Please charge the required fee of \$130.00 to Pennie & Edmonds LLP's Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Date May 21, 2001

Respectfully submitted,

*Paul E. Dietze for*  
*Francis E. Morris*

Paul E. Dietze  
Francis E. Morris

Reg. No. 45,627  
Reg. No. 24,615

**PENNIE & EDMONDS LLP**  
1667 K Street, N.W.  
Washington, DC 20006

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Enclosures



GP. 2164\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: MACREADY et al.

RECEIVED  
MAY 7 2001  
Group 2100

Application No.: 09/729,692

Group Art Unit: 2164

Filed: December 6, 2000

Examiner: To be assigned

For: METHOD AND SYSTEM FOR  
DISCOVERY OF TRADES  
BETWEEN PARTIES

Attorney Docket No.: 9392-040-999

FEE TRANSMITTAL SHEET

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The fee required to be filed with the accompanying amendment for the above-identified application has been estimated to be \$2334.

The claim amendment fee has been estimated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)		SMALL ENTITY			OTHER THAN A SMALL ENTITY		
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE	
TOTAL	141	MINUS	20	=	121	× 9	\$		× 18	\$	2178.00
INDEP.	5	MINUS	3	=	2	× 40	\$		× 80	\$	156.00
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						135	\$		270	\$	
						TOTAL	\$		OR TOTAL	\$	2334.00

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.  
A copy of this sheet is enclosed.

Respectfully submitted,

Date May 3, 2001

Gregory J. Gonsalves  
Francis E. Morris

Reg. No. 43,639  
Reg. No. 24,615

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